

12-19-01

PTO/SB/05 (2/98)

Approved for use through 09/30/2000. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. 4015-1740

First Inventor or Application Identifier

Tracy L. Fulghum

Title

RECEIVED SIGNAL FILTERING FOR ENHANCED  
SELECTIVITY

Express Mail Label No.

EV014468822US

(Only for new nonprovisional applications under 37 CFR § 1.53(b))

10/02/98 U.S. PTO

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ \*Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Pages 20]  
(preferred arrangement set forth below)
  - Descriptive title to the invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the invention
  - Brief Summary of the invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 6]
4. ☒ Oath or Declaration [Total Pages 8]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))  
(for continuation/divisional with Box 17 completed)  
[Note Box 5 below]
    - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting  
inventor(s) named in the prior application,  
see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference (useable if Box 4b is checked)  
The entire disclosure of the prior application, from which a  
copy of the oath or declaration is supplied under Box 4b,  
is considered as being part of the disclosure of the  
accompanying application and is hereby incorporated by  
reference therein.

6. ☐ Microfiche Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

8. ☒ Assignment Papers (cover sheet & document(s))
9. ☐ 37 C.F.R. § 3.73(b) Statement ☒ Power of Attorney  
(when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS  
Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
14. ☐ \*Small Entity ☐ Statement filed in prior application,  
Statement(s) Status still proper and desired
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☒ Other: Express Mail Certification

\*NOTE FOR ITEMS 1 & 14: IN ORDER TO BE  
ENTITLED TO PAY SMALL ENTITY FEES, A SMALL  
ENTITY STATEMENT IS REQUIRED (37 C.F.R.  
§ 1.27), EXCEPT IF ONE FILED IN A PRIOR  
APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary statement:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: \_\_\_\_\_ / \_\_\_\_\_  
Prior application information: Examiner: \_\_\_\_\_ Group/Art Unit: \_\_\_\_\_

## 18. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Labelor ☐ Correspondence address below

(Insert Customer Number or attach bar code label here)

24112

NAME

PATENT &amp; TRADEMARK OFFICE

ADDRESS

CITY

STATE

ZIP CODE

COUNTRY

TELEPHONE

FAX

Name (Print/Type)

Michael D. Murphy

Registration No. (Attorney/Agent)

44,958

Signature

Michael D. Murphy

Date

December 12, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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**FEE TRANSMITTAL**

Patent fees are subject to annual revision on October 1

These are the fees effective December 29, 1999.

Small Entity payments must be supported by a small entity statement.  
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.

See 37 C.F.R. §§ 1.27 and 1.28.

**TOTAL AMOUNT OF PAYMENT** (\$1,170.00)

Complete if Known

|                      |                  |
|----------------------|------------------|
| Application Number   | TBA              |
| Filing Date          | TBA              |
| First Named Inventor | Tracy L. Fulghum |
| Examiner Name        | TBA              |
| Group Art Unit       | TBA              |
| Attorney Docket No.  | 4015-1740        |

**METHOD OF PAYMENT (check one)**

- 1.
- ☒
- The Commission is hereby authorized to charge
- 
- indicated fees and credit any over payments to:

Deposit Account Number 18-1167

Deposit Account Name Coats &amp; Bennett, P L.L.C.

- ☒
- Charge Any Additional Fee Required Under 37 CFR §§1.16 and 1.17
- ☐
- Charge the Issue Fee Set in 37 CFR §1.18 at the Mailing of the Notice of Allowance

- 2.
- ☒
- Payment Enclosed:
- ☒
- Check
- ☐
- Money Order
- ☐
- Other

**FEE CALCULATION****1. BASIC FILING FEE**

| Large Fee Code      | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description        | Fee Paid          |
|---------------------|-----------------|----------------|-----------------|------------------------|-------------------|
| 101                 | 690             | 201            | 345             | Utility filing fee     | 740.00            |
| 106                 | 310             | 206            | 155             | Design filing fee      |                   |
| 107                 | 480             | 207            | 240             | Plant filing fee       |                   |
| 108                 | 760             | 208            | 380             | Reissue filing fee     |                   |
| 114                 | 150             | 214            | 75              | Provisional filing fee |                   |
| <b>SUBTOTAL (1)</b> |                 |                |                 |                        | <b>(\$740.00)</b> |

**2. EXTRA CLAIM FEES**

|                           | Extra Claims | Fee from below       | Fee Paid |
|---------------------------|--------------|----------------------|----------|
| Total Claims              | 37           | -20** = 17 X 18.00 = | 306.00   |
| Independent Claims        | 4            | -3** = 1 X 84.00 =   | 84.00    |
| Multiple Dependent Claims |              | X =                  |          |

\*\* or number previously paid, if greater; For Reissues, see below

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description   |
|----------------|-----------------|----------------|-----------------|---|
| 103            | 18              | 203            | 9               | Claims in excess of 20                                    |
| 102            | 78              | 202            | 39              | Independent claims in excess of 3                         |
| 104            | 260             | 204            | 130             | Multiple dependent claim                                  |
| 109            | 78              | 209            | 39              | **Reissue independent claims over original patent         |
| 110            | 18              | 210            | 9               | **Reissue claims in excess of 20 and over original patent |
| SUBTOTAL (2)   |                 |                |                 | (\$390.00)  |

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description  | Paid  |
|----------------|-----------------|----------------|-----------------|--|-------|
| 105            | 130             | 205            | 65              | Surcharge - late filing fee or oath  |       |
| 127            | 50              | 227            | 25              | Surcharge - late provisional filing fee or cover sheet                     |       |
| 139            | 130             | 139            | 130             | Non-English specification  |       |
| 147            | 2,520           | 147            | 2,520           | For filing a request for reexamination                                     |       |
| 112            | 920*            | 112            | 920*            | Requesting publication of SIR prior to Examiner action                     |       |
| 113            | 1,840*          | 113            | 1,840*          | Requesting publication of SIR after Examination action                     |       |
| 115            | 110             | 215            | 55              | Extension for reply within first month                                     |       |
| 116            | 380             | 216            | 190             | Extension for reply within second month                                    |       |
| 117            | 870             | 217            | 435             | Extension for reply within third month                                     |       |
| 118            | 1,360           | 218            | 680             | Extension for reply within fourth month                                    |       |
| 128            | 1,850           | 228            | 925             | Extension for reply within fifth month                                     |       |
| 119            | 300             | 219            | 150             | Notice of Appeal   |       |
| 120            | 300             | 220            | 150             | Filing a brief in support of an appeal                                     |       |
| 121            | 260             | 221            | 130             | Request for oral hearing   |       |
| 138            | 1,510           | 138            | 1,510           | Petition to institute a public use proceeding                              |       |
| 140            | 110             | 240            | 55              | Petition to revive - unavoidable   |       |
| 141            | 1,210           | 241            | 605             | Petition to revive - unintentional   |       |
| 142            | 1,210           | 242            | 605             | Utility issue fee (or reissue)   |       |
| 143            | 430             | 243            | 215             | Design issue fee   |       |
| 144            | 580             | 244            | 290             | Plant issue fee  |       |
| 122            | 130             | 122            | 130             | Petitions to the Commissioner  |       |
| 123            | 50              | 123            | 50              | Petitions related to provisional applications                              |       |
| 126            | 240             | 126            | 240             | Submission of Information Disclosure Stmt.                                 |       |
| 581            | 40              | 581            | 40              | Recording each patent assignment per property (times number of properties) | 40.00 |
| 146            | 760             | 246            | 380             | Filing a submission after final rejection (37 CFR 1.129(a))                |       |
| 149            | 760             | 249            | 380             | For each additional invention to be examined (37 CFR 1.129(b))             |       |

Other fee (specify)

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** (\$40.00)**SUBMITTED BY**

Typed or Printed Name Michael D. Murphy

Signature *Michael D. Murphy*

Date December 12, 2001

**Complete (if applicable)**

Reg. Number 44,958

Deposit Account User ID 18-1167

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Fulghum et al.

Serial No. \_\_\_\_\_

Filed: \_\_\_\_\_

For: RECEIVED SIGNAL FILTERING FOR  
ENHANCED SELECTIVITY

Attorney's Docket No. 4015-1740

**Express Mail Certification**  
**Label No. EV014468822US**

Raleigh, North Carolina

December 12, 2001

Commissioner for Patents  
**BOX PATENT APPLICATION**  
Washington, D.C. 20231

Sir,

**EXPRESS MAIL CERTIFICATE LABEL NO. EV014468822US**

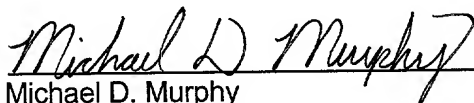
**DATE MAILED: December 12, 2001**

I hereby certify that the enclosed Utility Patent Application Transmittal Form, Fee Transmittal Form (2 copies), specification and claims, drawings (1 set of 6 sheets), Declaration and Power of Attorney (8 pages), Assignment and Recordation Sheet (7 pages), and our Check # 5481 in the amount of \$1,170.00, are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.110 on the date indicated above and is addressed to Commissioner for Patents, BOX PATENT APPLICATION Washington, D.C. 20231.

Respectfully submitted,

**COATS & BENNETT, P.L.L.C.**

By:

  
Michael D. Murphy  
Registration No. 44,958

Telephone: (919) 854-1844